

Getting Serious About School Reform Three Critical Commitments

Dr. Robert J. Marzano

VISION DOCUMENT



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Marzano Research Laboratory
P.O. Box 424
Bloomington, Indiana 47402
(888) 763-9045

For professional development opportunities contact:

Solution Tree
555 North Morton Street
Bloomington, Indiana 47404
(888) 763-9045
info@marzanoresearch.com

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VISION:

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MRL will consistently analyze what works in schools and classrooms and will always present research that reflects the current knowledge base of best practice for enhancing student achievement.

The Marzano Research Laboratory (MRL) is a joint venture between Solution Tree and Dr. Robert J. Marzano. In service to educators, the new company will synthesize Dr. Marzano's 35 years of educational research, world-renowned for its breadth and depth, into accessible components teachers and principals can use for immediate gains in student learning. Continuous action research will ensure MRL strategies are always at the forefront of best practice.

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Dr. Robert J. Marzano

"Dr. Marzano is a true icon in the field of educational research. Combining his past, current, and future research with Solution Tree's exceptional ability to deliver professional development through publishing, consulting, and conferences will ensure Dr. Marzano's research will continue to impact education practices today and in the years to come."

Jeff Jones
President, Solution Tree

For more information about Marzano Research Laboratory (MRL), please contact Erinn Drone at 800.733.6786 ext. 254.

PREFACE

HOW WILL THIS VISION DOCUMENT IMPACT MY WORK?

Dr. Robert J. Marzano has conducted 35 years of research and meta-analysis of best practices across all areas of education. This document distills some of his most important research into key findings that will facilitate dramatic school improvements. The premise is that until districts and schools become tightly coupled regarding student achievement, they cannot consider themselves serious about school reform. District and school leaders must ensure that specific interventions are enacted in every classroom, in every school. Research and theory point to at least three critical interventions or commitments that should occur to achieve serious school reform.

WHAT WILL I TAKE AWAY?

You will learn about the three critical commitments and how they affect every classroom student. This vision document also details the multi-phase process of each intervention.

Commitment #1: Develop a System of Individual Student Feedback at the District, School, and Classroom Levels

Phase I: Track Student Progress on Selected Learning Goals Using a Formatively Based System of Assessment

Phase II: Design Learning Goals in All Subject Areas and Redesign Report Cards

Phase III: Implement the New Report Cards in a Staged Fashion

Commitment #2: Ensure Effective Teaching in Every Classroom

Phase I: Systematically Explore and Examine Effective Pedagogy and Develop a Model or “Language” of Instruction

Phase II: Have Teachers Systematically Interact Using the Model or Language of Instruction

Phase III: Have Teachers Observe Master Teachers Applying Instructional Strategies

Phase IV: Monitor the Effectiveness of Individual Teaching Styles



Commitment #3: Build Background Knowledge for All Students (Particularly Those With Educationally Challenging Backgrounds)

Phase I: Identify Academic Terms in Language Arts, Mathematics, Science, and Social Studies to Be Taught at Each Grade Level

Phase II: Implement the Academic Vocabulary Program Districtwide Using a Common Approach to Instruction

WHERE DO I GO FROM HERE?

The actions of districts and schools can have a profound impact on student achievement. Yet historically, districts and schools have been so loosely coupled that they have had little influence on what occurs in individual classrooms and consequently have had little influence on student achievement.

This document outlines three critical commitments that districts and schools should make. If you haven't already, it's time to make a plan and work the plan. Implementing these critical commitments constitutes a concerted effort to be serious about school reform.

Dr. Marzano and Solution Tree are working together to make the Marzano Research Laboratory the source for key tools and strategies that empower you to make these commitments. Look for the Marzano Research Laboratory website and additional resources coming this fall and in spring of 2009.

VISION DOCUMENT

GETTING SERIOUS ABOUT SCHOOL REFORM THREE CRITICAL COMMITMENTS

There is growing evidence that the actions of district and school leaders can have a substantial effect on student achievement (Marzano, Waters, & McNulty, 2005; Marzano & Waters, in press). To illustrate, assume that a student at the 50th percentile in terms of her achievement in mathematics enrolls in a school whose principal is at the 98th percentile in terms of his leadership skills. Then assume that school is in a district whose superintendent is at the 98th percentile in terms of her leadership skills. One would predict that over time, the student's achievement would increase from the 50th percentile to the 67th percentile (Marzano & Waters, in press).

One might ask how such a relationship can exist since district and school leaders do not interact directly with students. The answer is that district and school leaders influence student achievement when they implement policies that directly affect what happens in classrooms. Stated differently, district and school leaders must ensure that specific interventions are enacted in every classroom in every school. Research and theory point to at least three critical interventions that should occur in every classroom, in every school throughout a district.

These three interventions might be thought of as critical “commitments” that must be made to students by district and school



leaders. While making these commitments might appear to be a straightforward endeavor, they are not simple because they require that certain actions occur in every classroom. Almost by definition this goes against the historical culture of districts and schools, which might be characterized as “loosely coupled” – individual schools within a district and individual teachers within a school operate in total autonomy and isolation. Over the decades, districts and schools have shown little interest in becoming “tightly coupled” organizations in which student achievement is the superordinate goal supported by uniform yet flexible behaviors in the classroom (Marzano & Waters, in press). The premise of this paper is that until districts and schools become tightly coupled regarding student achievement, they cannot be thought of as *serious* about school reform. Three critical commitments are described that as a group represent a serious commitment to reform.

Commitment #1: Develop a System of Individual Student Feedback at the District, School, and Classroom Levels

The first commitment addresses individual student feedback at the district, school, and classroom levels. The keyword in this intervention is *feedback*. Hattie’s (1999; Hattie & Timperley, 2007) review of over 500 meta-analyses, involving 450,000 effect sizes from 180,000 studies representing approximately 20 to 30 million students, indicates that effective feedback is one of the most powerful influences on student achievement. When implemented, this intervention allows districts and schools to identify strengths and weaknesses of every student so that weaknesses may be addressed quickly and efficiently. The overall intent is that students do not move through the system from grade level to grade level with

information and skill deficiencies that cumulatively impede their learning. This commitment typically plays out in three phases.

Phase 1: Track Student Progress on Selected Learning Goals Using a Formatively Based System of Assessment

The advantages of formative assessment have been abundantly clear ever since the publication of Black and Wiliam's (1998) meta-analysis of some 250 studies. Their overall conclusion was that when administered effectively formative assessments have the potential of enhancing student achievement by .7 standard deviations. This implies that a student at the 50th percentile in academic achievement might rise to the 76th percentile when exposed to effectively administered formative assessments. While teachers engage in formative assessments quite regularly, they often do so in isolation, using their own idiosyncratic interpretations of effective formative assessment. To reap the full benefits of formative assessment, a districtwide approach must be established.

A districtwide approach begins by identifying specific instructional targets or "learning goals" for selected subject areas at each grade level. For example, after analyzing districtwide performance on a recently administered state test, a district might identify a specific learning goal for first-grade mathematics, first-grade reading, and first-grade writing. One mathematics learning goal for reading, writing, mathematics, and science might be identified for each semester for the entire year. Similar goals would be identified at each grade level. Individual schools within the district would be invited to identify additional grade level goals for their school.



Figure 1: Generic Scale for District and Schoolwide Learning Goals

| | |
|----------------------|---|
| Score 4.0 | In addition to Score 3.0 performance, the student demonstrates in-depth inferences and applications that go beyond what was taught. |
| Score 3.5 | In addition to Score 3.0 performance, the student demonstrates partial success at inferences and applications that go beyond what was taught. |
| Score 3.0 | There are no major errors or omissions regarding any of the information and/or processes (simple or complex) that were explicitly taught. |
| Score 2.5 | There are no major errors or omissions regarding the simpler details and processes, and partial knowledge of the more complex ideas and processes. |
| Score 2.0 | There are no major errors or omissions regarding the simpler details and processes, but there are major errors or omissions regarding the more complex ideas and processes. |
| Score 1.5 | The student demonstrates partial knowledge of the simpler details and processes, but there are major errors or omissions regarding the more complex ideas and processes. |
| Score 1.0 | With help, the student demonstrates a partial understanding of some of the simpler details and processes and some of the more complex ideas and processes. |
| Score 0.5 | With help, the student demonstrates a partial understanding of some of the simpler details and processes, but not of the more complex ideas and processes. |
| Score 0.0 | Even with help, the student demonstrates no understanding or skill. |

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Next, rubrics or scales would be developed for each goal at each grade level. Marzano (2006) has developed a generic scale that can be applied to all content areas (see Figure 1 on page 10).

To illustrate how this scale is used, assume that a district identified the following instructional goal for eighth-grade science.

Students will understand:

- How the water cycle processes (condensation, precipitation, surface run-off, percolation, and evaporation) impact climatic patterns
- The effects of temperature and pressure in different layers of the Earth's atmosphere

That goal would be translated into a scale using the model in Figure 1. This is depicted in Figure 2 (see pages 12-13). With this scale that is specific to eighth-grade science, teachers could readily develop formal and informal formative assessments. Although teachers would be designing their own assessments, each assessment would be scored with the same scale, allowing for comparability of student progress from teacher to teacher. A student with a score of 2.5 on an assessment for a specific learning goal designed by one teacher would be comparable to a score of 2.5 on an assessment designed by another teacher for that same learning goal. This system does not preclude the use of common assessments. Common assessments would be designed and scored using the common scale. Thus the district would have a comprehensive yet flexible system of formative assessments to track student progress in a way that is comparable from teacher to teacher and school to school.



Figure 2: Common Scale for Eighth-Grade Science Goal

| | |
|----------------------|---|
| Level 4.0 | In addition to Level 3.0 performance, the student makes in-depth inferences and applications that go beyond what was taught in class. |
| Level 3.5 | In addition to Level 3.0 performance, the student makes in-depth inferences and applications with partial success. |
| Level 3.0 | <p>While engaged in tasks that address atmospheric processes and the water cycle, the student demonstrates an understanding of important information, such as:</p> <ul style="list-style-type: none"> • How the water cycle processes (condensation, precipitation, surface run-off, percolation, and evaporation) impact climatic patterns • The effects of temperature and pressure in different layers of the Earth’s atmosphere <p>The student exhibits no major errors or omissions.</p> |
| Level 2.5 | The student demonstrates partial knowledge of the more complex ideas and processes stated in level 3.0, and there are no major errors or omissions regarding the simpler details and processes stated in level 2.0. |
| Level 2.0 | <p>There are no major errors or omissions regarding the simpler details and processes, such as:</p> <ul style="list-style-type: none"> • Recognizing or recalling specific terminology, including: <ul style="list-style-type: none"> – Climate/climatic pattern – Atmospheric layers – Troposphere – Stratosphere – Mesosphere – Thermosphere <p style="text-align: right;">(continued)</p> |

| | |
|---|---|
| <p>Level 2.0 (cont.)</p> | <ul style="list-style-type: none"> • Recognizing or recalling isolated details, such as: <ul style="list-style-type: none"> – Precipitation is one of the processes of the water cycle. – The troposphere is the lowest portion of Earth’s atmosphere. <p>However, the student exhibits major errors or omissions regarding the more complex ideas and processes stated in level 3.0.</p> |
| <p>Level 1.5</p> | <p>The student demonstrates partial knowledge of the simpler details and processes stated in level 2.0, but there are major errors or omissions regarding the more complex ideas and processes stated in level 3.0.</p> |
| <p>Level 1.0</p> | <p>With help, the student shows partial understanding of some of the simpler details and processes stated in level 2.0 and some of the more complex ideas and processes stated in level 3.0.</p> |
| <p>Level 0.5</p> | <p>With help, the student demonstrates partial understanding of some of the simpler details and processes stated in level 2.0, but no understanding of the more complex ideas and processes stated in level 3.0.</p> |
| <p>Level 0.0</p> | <p>Even with help, the student demonstrates no understanding or skill.</p> |

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Finally, using DuFour, Eaker, and DuFour's (2005) conception of professional learning communities, teachers would meet periodically to examine the achievement of their students on learning goals assessed using the common scale. Discussions at the meetings would focus on identifying instructional strategies that produce the greatest gains in student learning.

Phase II: Design Learning Goals in All Subject Areas and Redesign Report Cards

Phase I allows a district to keep track of student progress on selected learning goals. Ultimately, a district must be able to keep track of a comprehensive set of learning goals for each subject area as opposed to a few selected learning goals for a few subjects. This means that a district must reconstitute state standards documents (Marzano & Haystead, 2008). One aspect of reconstituting standards documents involves trimming the amount of content students are expected to learn and teachers are expected to teach. This is necessary because research has shown that if all the content in current standards documents were taught, schools would have to add about 70% more time to the school year (Marzano, Kendall, & Gaddy, 1999).

Along with trimming the content, learning goals at each grade level would be stated in scale format as depicted earlier in Figure 2. Marzano and Haystead (2008) have determined that no more than 15 learning goals should be identified for any given grade level. These learning goals are typically organized into broader categories called *strands*. Some districts and schools refer to learning goals as *reporting topics*. Figure 3 (see pages 15–16) depicts sample strands and reporting topics as described by Marzano (2007b).

With strands and learning goals in place, report cards can be redesigned, as depicted in Figure 4 (see pages 18–19).

Figure 3: Sample Strands and Reporting Topics

| LANGUAGE ARTS | |
|---|--|
| <p>Reading:</p> <ol style="list-style-type: none"> 1. Word recognition and vocabulary 2. Reading comprehension 3. Literary analysis <p>Writing:</p> <ol style="list-style-type: none"> 4. Spelling 5. Language mechanics and conventions | <ol style="list-style-type: none"> 6. Research and technology 7. Evaluation and revision <p>Listening and Speaking:</p> <ol style="list-style-type: none"> 8. Listening comprehension 9. Analysis and evaluation of oral media 10. Speaking applications |
| SCIENCE | |
| <p>Nature of Science:</p> <ol style="list-style-type: none"> 1. Nature of scientific knowledge and inquiry 2. Scientific enterprise <p>Physical Sciences:</p> <ol style="list-style-type: none"> 3. Structure and properties of matter 4. Sources and properties of energy 5. Forces and motion <p>Life Sciences:</p> <ol style="list-style-type: none"> 6. Biological evolution and diversity of life | <ol style="list-style-type: none"> 7. Principles of heredity and related concepts 8. Structure and function of cells and organisms 9. Relationships among organisms and their physical environment <p>Earth and Space Sciences:</p> <ol style="list-style-type: none"> 10. Atmospheric processes and the water cycle 11. Composition and structure of the Earth 12. Composition and structure of the Universe and the Earth's place in it |
| (continued) | |



MATHEMATICS

Numbers and Operations:

1. Number sense and number systems
2. Operations and estimation

Computation:

3. Addition and subtraction
4. Multiplication and division

Algebra and Functions:

5. Patterns, relations, and functions
6. Algebraic representations and mathematical models

Geometry:

7. Lines, angles, and geometric objects
8. Transformations, congruency, and similarity

Measurement:

9. Measurement systems
10. Perimeter, area, and volume

Data Analysis and Probability:

11. Data organization and interpretation
12. Probability

SOCIAL STUDIES

Citizenship, Government, and Democracy:

1. Rights, responsibilities, and participation in the political process
2. The U.S. and state constitutions
3. The civil and criminal legal systems

Culture and Cultural Diversity:

4. The nature and influence of culture

Economics:

5. The nature and function of economic systems
6. Economics throughout the world
7. Personal economics

History:

8. Significant individuals and events
9. Current events and the modern world

Geography:

10. Spatial thinking and the use of charts, maps, and graphs

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The report card in Figure 4 is traditional in the sense that it provides overall grades. This is done by combining the final status for the reporting topics in each subject area into a weighted or unweighted average and then converting that average to a traditional letter grade using a conversion like the following: 3.00 to 4.00 = A; 2.50 to 2.99 = B; 2.00 to 2.49 = C; 1.50 to 1.99 = D; below 1.50 = F. In addition to this overall grade, student status on each reporting topic is depicted.

These topic scores are reported as bar graphs within each subject area. The student in Figure 4 received a final score of 2.5 for the topic of word recognition and vocabulary in language arts; he received a final topic score of 3.0 for estimation in mathematics, a final topic score of 2.0 for matter and energy in science, and so on. Note that the left portion of each bar is darker than the right portion of each bar. The darker part of each bar represents where the student started at the beginning of the grading period. The lighter part of the bar represents knowledge gain during the grading period. For example, the student in Figure 4 received a final score of 2.5 in word recognition and vocabulary. However the student began the grading period at a score of 1.0. He exhibited a gain of 1.5 points on the scale. Contrast this with the student's score on literary analysis. He received a score of 2.0 at the end of the grading period. However, because the entire bar is in the darker shade, the student exhibited no growth throughout the grading period. He started at a score of 2.0 and ended at a 2.0. This lack of gain would signal a need for some type of intervention. Also note that each subject area has included academic and life skill topics. Specifically, for each subject area final topic scores and knowledge gains were computed for the life skill topics of participation, work completion, behavior, and working in groups.



Figure 4: Sample Report Card

| | | | |
|---------------------------------------|-------------------|-------------------|----------|
| Name: | John Mark | | |
| Address: | 123 Some Street | | |
| City: | Anytown, CO 80000 | | |
| Grade Level: | 4 | | |
| Homeroom: | Ms. Smith | | |
| Language Arts | C (2.46) | Participation | A (3.40) |
| Mathematics | B (2.50) | Work Completion | B (2.90) |
| Science | C (2.20) | Behavior | A (3.40) |
| Social Studies | A (3.10) | Working in Groups | B (2.70) |
| Art | A (3.00) | | |
| Language Arts | | | |
| <i>Reading:</i> | | | |
| Word Recognition and Vocabulary | 2.5 | | |
| Reading for Main Idea | 1.5 | | |
| Literary Analysis | 2.0 | | |
| <i>Writing:</i> | | | |
| Language Conventions | 3.5 | | |
| Organization and Focus | 2.5 | | |
| Research and Technology | 1.0 | | |
| Evaluation and Revision | 2.5 | | |
| Writing Applications | 3.0 | | |
| <i>Listening and Speaking:</i> | | | |
| Comprehension | 3.0 | | |
| Organization and Delivery | 3.0 | | |
| Analysis and Evaluation of Oral Media | 2.5 | | |
| Speaking Applications | 2.5 | | |
| <i>Life Skills:</i> | | | |
| Participation | 4.0 | | |
| Work Completion | 3.5 | | |
| Behavior | 3.5 | | |
| Working in Groups | 3.0 | | |
| Average for Language Arts | 2.5 | | |
| Mathematics | | | |
| Number Systems | 3.5 | | |
| Estimation | 3.0 | | |
| Addition/Subtraction | 2.5 | | |
| Multiplication/Division | 2.5 | | |
| Ratio/Proportion/Percent | 1.0 | | |
| <i>Life Skills:</i> | | | |
| Participation | 4.0 | | |
| Work Completion | 2.0 | | |
| Behavior | 3.5 | | |

(continued)

Getting Serious About School Reform

| | | |
|-----------------------------------|-----|--|
| Working in Groups | 2.0 | |
| Average for Mathematics | 2.5 | |
| Science | | |
| Matter and Energy | 2.0 | |
| Forces of Nature | 2.5 | |
| Diversity of Life | 1.5 | |
| Human Identity | 3.5 | |
| Interdependence of Life | 1.5 | |
| <i>Life Skills:</i> | | |
| Participation | 3.0 | |
| Work Completion | 1.5 | |
| Behavior | 2.5 | |
| Working in Groups | 1.0 | |
| Average for Science | 2.2 | |
| Social Studies | | |
| The Influence of Culture | 3.5 | |
| Current Events | 3.0 | |
| Personal Responsibility | 4.0 | |
| Government Representation | 3.5 | |
| Human and Civil Rights | 1.5 | |
| <i>Life Skills:</i> | | |
| Participation | 3.5 | |
| Work Completion | 3.5 | |
| Behavior | 3.5 | |
| Working in Groups | 4.0 | |
| Average for Social Studies | 3.1 | |
| Art | | |
| Purposes of Art | 3.5 | |
| Art Skills | 3.0 | |
| Art and Culture | 2.5 | |
| <i>Life Skills:</i> | | |
| Participation | 2.5 | |
| Work Completion | 4.0 | |
| Behavior | 4.0 | |
| Working in Groups | 3.5 | |
| Average for Art | 3.0 | |



Of course, this is only one example of the various types of report cards that can be designed. A district might elect to have no overall traditional grade and simply report status on individual reporting topics. (For a detailed discussion of the various types of report cards, see Marzano, in press).

Phase III: Implement the New Report Cards in a Staged Fashion

During Phase II, report cards are designed but not implemented. During Phase III, the district implements the new report cards. While a case could be made that new report cards should be introduced systemwide at one time, an equally logical perspective is to implement in a staged fashion. For example, a district might implement the new report cards at the elementary level first.

The next year, the district might implement the new report cards at the middle school level. The third year, the district would implement the new report cards at the high school level. Assuming that Phases I and II take 1 year each, the entire process of designing and implementing this first critical commitment would take 5 years.

Commitment #2: Ensure Effective Teaching in Every Classroom

The second commitment addresses effective teaching. Although it is probably true that a district cannot *ensure* effective teaching in every classroom, it can implement a system that ensures a districtwide emphasis on monitoring

and enhancing the effectiveness of teaching in every classroom. This commitment involves four phases.

Phase 1: Systematically Explore and Examine Effective Pedagogy and Develop a Model or “Language” of Instruction

Over the years, a number of models of effective pedagogy have been proposed (for example, Hunter, 1984). While a case can be made that a district or school should simply adopt a model, a case can also be made that “off-the-shelf” interventions are typically short lived in K–12 education (Cuban, 1987). One alternative to adopting an instructional model wholesale is to use action research to develop a local or district or school approach.

The concept of action research has become quite popular in the last few decades. Nolen and Putten (2007) note that action research was first introduced as a methodology in education research in the mid-1950s. They explain that it “surfaced in response to the growing need for more relevant and practical knowledge in the social sciences: It bridged the gap between academic research and day-to-day applications” (p. 401).

For the purposes discussed here, action research begins with the identification of specific instructional techniques that are to be studied. This typically means selecting strategies from existing lists of effective practices. For example, relative to instructional strategies, Marzano, Pickering, and Pollock (2001) identified the following nine instructional strategies:

1. Identifying similarities and differences
2. Summarizing and note-taking



3. Reinforcing effort and providing recognition
4. Homework and practice
5. Nonlinguistic representations
6. Cooperative learning
7. Setting objectives and providing feedback
8. Generating and testing hypotheses
9. Cues, questions, and advance organizers

Relative to classroom management strategies, Marzano, Pickering, and Marzano (2003) identified the following four areas:

1. Rules and procedures
2. Disciplinary interventions
3. Teacher-student relationships
4. Teacher mental set

Other similar lists of effective strategies have been developed by Good and Brophy (2003) and Mayer (2003).

Once a reference list of strategies has been identified, teachers throughout a school or district can conduct action research projects on a voluntary basis. Action research projects can be quite informal

or formal. At an informal level, teachers might simply try strategies in their classrooms and record their impressions of how well they worked. At a more formal and more rigorous level, teachers can design and carry out studies involving experimental classes (classes in which a specific strategy is employed) and control classes (classes in which the selected strategy is not employed). To date, Marzano & Associates has been involved in over 100 action research projects that employed experimental/control classes and controlled for previous knowledge using pre-tests as covariates (for example, Marzano & Associates, 2005). Results from these studies are reported in Figure 5 (see page 24).

In Figure 5, effect sizes are reported in terms of standardized mean differences. Thus an effect size of .25 (let's say) means that the average score in a class in which a specific strategy was used would be expected to be about 10 percentile points larger than average score in a class where the strategy was not used. The average effect size in Figure 5 is .39, which implies a 15 percentile point differential between the average score in a class where a specific strategy was used and the average score in a class where it was not. Of particular interest in these studies is the fact that the vast majority of the 113 teachers either participated in a one-day or half-day in-service professional development workshop regarding specific instructional strategies, read a brief description of a specific instructional strategy, or both. An average effect size of .39 or 15 percentile points can be considered noteworthy under these conditions.

Once valued instructional strategies have been studied via action research, a school or district is in a position to design a model or "language" of instruction. An instructional model should not be misconstrued as an attempt to constrain teachers to one particular approach to teaching. Rather, it should be interpreted as a necessary



Figure 5: Distribution of 113 Effect Sizes (Standardized Mean Difference)

| | |
|-----------------|------|
| Mean | .39 |
| Median | .28 |
| Range | 6.67 |
| 10th percentile | -.43 |
| 20th percentile | -.17 |
| 25th percentile | -.06 |
| 30th percentile | .06 |
| 40th percentile | .15 |
| 50th percentile | .28 |
| 60th percentile | .33 |
| 70th percentile | .61 |
| 75th percentile | .70 |
| 80th percentile | .90 |
| 90th percentile | 1.44 |

vehicle for communication between teachers. In effect, the model should constitute an agreed-upon way of describing and discussing effective teaching. This idea has been espoused by many. For example, the importance of a common language is addressed implicitly and explicitly by those who promote the importance of professional learning communities (Stoll, Bolam, McMahon, Wallace, & Thomas, 2006). In *The Art and Science of Teaching*, Marzano (2007a) has offered the approach depicted in Figure 6 (see page 26).

Design questions such as those in Figure 6 have an advantage over models that focus on lessons (such as Hunter, 1984) in that they allow a great deal of flexibility for teachers in terms of the day-to-day practice of teaching but are specific enough that they allow for detailed discussion of the teaching/learning process.

Phase II: Have Teachers Systematically Interact Using the Model or Language of Instruction

A model of instruction is powerful only if used as a vehicle for communication—as the basis for conversations about effective teaching. In a school with a culture of effective instruction many of these conversations will occur quite naturally. While naturally occurring interactions should be supported, it is also important to provide a structure for these interactions. Dimmock (2000) notes that providing teachers with the time and space to interact about instruction is critical to effective interaction; however, time and space are not sufficient. A format and structure for such interactions should be developed.

To illustrate, a district might use “late starts” on a monthly basis. During late start meetings, teachers meet in small grade-level or subject-matter teams to discuss instructional issues. Between



Figure 6: Instructional Design Questions

1. What will I do to establish and communicate learning goals, track student progress, and celebrate success?
2. What will I do to help students effectively interact with new knowledge?
3. What will I do to help students practice and deepen their understanding of new knowledge?
4. What will I do to help students generate and test hypotheses about new knowledge?
5. What will I do to engage students?
6. What will I do to establish or maintain classroom rules and procedures?
7. What will I do to recognize and acknowledge adherence and lack of adherence to classroom rules and procedures?
8. What will I do to establish and maintain effective relationships with students?
9. What will I do to communicate high expectations for all students?
10. What will I do to develop effective lessons organized into a cohesive unit?

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late start meetings, teachers are asked to record their reactions to instructional techniques they have tried from the district model. This recordkeeping is kept to a minimum; teachers simply spend a few minutes after a particular lesson in which they tried a strategy recording their perceptions of the effectiveness of the strategy. During their late start meetings, teachers discuss their recorded observations using the following protocol:

- Describe the strategy or strategies you tried.
- Describe its effect on student learning and the evidence for your conclusions.
- Describe what you did.
- Describe areas for improvement on your part.

Teacher monthly meetings might be coupled with an examination of student progress on specific learning goals gleaned from the first commitment above. That is, if multiple teachers have been focusing on a specific learning goal within a grade level or for a given course, they correlate their discussions of the effectiveness of specific instructional strategies with student progress on the common scale for a specific learning goal.

Phase III: Have Teachers Observe Master Teachers Applying Instructional Strategies

Teachers systematically talking about instruction will go a long way to creating a culture of effective teaching. However, nothing will put effective pedagogy in the spotlight as well as teachers observing teachers. Louis, Kruse, & Associates (1995) note that ultimately professional learning communities (PLCs) must foster the “deprivatization of practice.” This is perhaps one of the



most difficult aspects of PLCs to implement. In his book *A Place Called School*, which summarized data from 1,350 elementary and secondary teachers, Goodlad (1984) noted that teachers generally report that they would like to observe others: “Approximately three quarters of our sample at all levels of schooling indicated that they would like to observe other teachers at work” (p. 188).

This phase necessarily begins with the identification of “master teachers.” It is important to note that a master teacher is defined as one who produces substantial gains in student learning. This is in contrast to defining a master teacher as one who employs specific instructional strategies. Although this might seem counterintuitive, it has a strong logic. Given the complexity of the teaching/learning process, it is safe to say that no model of instruction or set of instructional strategies could completely define effective teaching. This sentiment has been expressed directly or indirectly by many researchers and theorists (Willms, 1992; Reynolds & Teddlie, 2000; Berliner, 1986). Different teachers employing the same instructional techniques might produce very different results in student learning.

Consequently, overall effectiveness in teaching must be defined in terms of the one indisputable criterion – student learning.

Once master teachers have been identified using the criterion of student learning, each master teacher’s strengths can be identified in terms of the model of instruction that has been designed by the school or district. To illustrate, assume that a district is using the 10 design questions depicted in Figure 6. Within a particular school, a master teacher might be identified who demonstrates skill at questions 1 and 3. Another master teacher in another school might demonstrate expertise at questions 2 and 5 and so on. By definition, both master teachers produce consistent learning in their classrooms, but each has different strengths.

On a voluntary basis, teachers would then sign up to observe master teachers for specific design questions. For example, if a teacher wanted to observe an expert on question 5—student engagement—she would seek out one of the district experts on this issue. Ideally, the expert teacher for design question 5 would also visit the classroom of the teacher seeking assistance.

Phase IV: Monitor the Effectiveness of Individual Teaching Styles

The final phase of the second critical commitment involves monitoring instruction districtwide or schoolwide. This means that every teacher is provided with feedback regarding the effectiveness of their instruction with the intent of capitalizing on strengths and improving on weaknesses. One important reminder is useful here: The criterion for effective teaching should be *student learning* as opposed to the rigid use of strategies identified in the instructional model. The instructional model is a means to an end, not an end in itself. As Fullan (2001) explains, the purpose of teacher observation is to produce, through interaction, shared knowledge that teachers can apply to address real-world issues in their classrooms. Hord (1997) echoes these comments, noting that shared knowledge regarding instruction should translate into practical tools that can be used by teachers to enhance student achievement.

While it is true that teachers should be allowed flexibility in the instructional strategies they employ, it is also true that all teachers should be expected to produce “learning” in their classrooms. Stated differently, teachers should be allowed to exhibit wide variation (that is, have different profiles) as to various aspects of the instructional model they emphasize. However, there should be no variation in *expectations* about student learning from teacher to teacher.



To this end, it is recommended that data are systematically collected on students as well as teachers. For teachers, data would be collected on the extent to which they employ elements of the instructional model. Such data might be collected via supervisor observations and teacher self-report. A rubric like that depicted in Figure 1 (see page 10) should be developed for each element of the instructional model.

Using rubrics such as that in Figure 7 (see pages 31–32), a profile of each teacher can be compiled through teacher self-report and observations by supervisors. Regarding self-reports, teachers can rate themselves on a systematic basis and compile these ratings. Additionally, supervisors can make systematic observations of teachers. These two sources of data can be combined to construct a profile for each teacher regarding their use of the instructional model. This is shown in the first 11 columns of Figure 8 (see page 33).

A report like that in Figure 8 would be generated for each school within a district. Each pair of rows in Figure 8 represents the self-report and supervisor report data for a specific teacher regarding the 10 design questions for the model shown in Figure 4 (see pages 18–19). To illustrate, consider the first two rows in Figure 8. The teacher has provided self-report scores for each of the 10 design questions. The teacher simply rated himself on each question using rubrics like that shown in Figure 7. Supervisor ratings are right below teacher ratings. Note that supervisor ratings are not reported for all 10 design questions. This is because a supervisor would not have the time to make valid observations on all 10 design questions in a single year. Consequently, in a given year and in consultation with a given teacher, a supervisor would identify a few design questions to observe. Over the years, scores on all design questions would be obtained from supervisors. Also note that teacher names are not used.

Figure 7: Teacher Rubric for Design Question 1 –What will I do to establish and communicate learning goals, track student progress, and celebrate success?

Score 4.0: In addition to score 3.0 behaviors, adaptations that enhance students' learning.

Score 3.5: In addition to score 3.0 behaviors, partial success with adaptations that enhance students' learning.

Score 3.0: While engaged in classroom activities that involve establishing and communicating learning goals, tracking student progress, and celebrating success, the teacher makes no major errors or omissions regarding the following behaviors:

- Presents students with a clearly defined scale or rubric for each learning goal
- Allows students to identify their own learning goals in addition to those presented to them
- Designs and administers formative assessments for each learning goal
- Displays progress on learning goals for the whole class and facilitates students tracking their own progress
- Recognizes individual student status and progress as well as that of the whole class

Score 2.5: No major errors or omissions regarding the simpler behaviors (score 2.0 performance) and partial success at the more complex behaviors (score 3.0 performance).

Score 2.0: No major errors or omissions regarding the following simpler behaviors:

- Makes a distinction between learning goals and learning activities
- Presents learning goals, but does not design a scale for each

(continued)



- Designs and administers assessments for each learning goal, but does not use a formative system
- Tracks student progress, but does not facilitate students tracking their own progress or does not display progress for the whole class
- Recognizes and celebrates individual status and progress or group status and progress, but not both

However, the teacher exhibits major errors or omissions regarding the more complex behaviors (score 3.0 performance).

Score 1.5: Partial success at the simpler behaviors (score 2.0 performance), but major errors or omissions regarding the more complex behaviors (score 3.0 performance).

Score 1.0: With help, partial success at some of the simpler behaviors (score 2.0 performance) and some of the more complex behaviors (score 3.0 performance).

Score 0.5: With help, partial success at some of the simpler behaviors (score 2.0 performance), but not the more complex behaviors (score 3.0 performance).

Score 0.0: Even with help, no success with the score 2.0 or 3.0 behaviors.

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A composite report like that depicted in Figure 8 lists all teachers, but only individual teachers and supervisors know the identity of specific teachers. Finally, note that the last two rows for each design question report district and school averages respectively. This allows comparison of individual teacher profile data with that for the entire school and the entire district. To complete the profile for each teacher, student data must be collected. Three types of student data are recommended. The first is pre-test/post-test data also from a specific unit of instruction. These pre-test/post-test scores should all use the same metric. The 0 through 4 scale in Figure 1 is recommended so that assessments from teacher to teacher follow

Figure 8: Summary Data for Teacher Profiles

| Teacher | Q1 | Q2 | Q3 | Q4 | Q5 | Q6 | Q7 | Q8 | Q9 | Q10 | Pre/post | Engagement | Student Learning |
|------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|----------|------------|------------------|
| Teacher 1 | 2.5 | 2.5 | 3.0 | 2.0 | 2.0 | 3.0 | 4.0 | 3.5 | 3.0 | 2.5 | .7 | 2.1 | 3.3 |
| Supervisor | | | 2.5 | | | 3.0 | | | 2.5 | | | | |
| Teacher 2 | 2.0 | 3.0 | 2.5 | 3.0 | 3.5 | 2.5 | 3.0 | 2.0 | 2.5 | 3.0 | 1.3 | 3.2 | 3.0 |
| Supervisor | | 2.0 | | | 2.5 | 2.5 | | | | | | | |
| School Average | 2.4 | 2.4 | 3.1 | 2.7 | 3.1 | 2.6 | 2.8 | 2.9 | 3.0 | 3.2 | .5 | 2.3 | 2.5 |
| District Average | 2.6 | 2.8 | 2.8 | 3.2 | 2.7 | 2.4 | 2.8 | 2.7 | 3.0 | 3.2 | .4 | 2.7 | 2.4 |

the same metric. The pre-tests and post-tests can either be teacher-made assessments or common assessments designed by the district. Another type of data are student self-report engagement data. Again, a 0 through 4 scale is recommended. The final type of data is student self-report on their learning, using a 0 through 4 scale. The last two columns in Figure 8 show class averages for each teacher on the three types of student self-report data. As before, the last two rows contain school and district averages for the student data.

It is certainly not the case that every teacher should be expected to meet or exceed district or school averages in all measures. Each teacher is unique in his or her instructional profile. However, comparison between individual teacher profiles and school or district averages should form the basis for discussion between teachers and supervisors. More specifically, individual teachers in consultation with their supervisors should identify specific goals for improvement. The focal point of such deliberation should always be student learning and engagement. An individual teacher might set a goal of raising her pre-test/post-test achievement gain from .5 to .6 and raising the average level of student engagement by one half a scale point by the end of the year. The teacher might elect to focus on one specific instructional design question to achieve these goals. For example, after examining her instructional profile as compared to the profiles of others in the district, the teacher might choose to focus on design question 1 of the model throughout the year. That selection might be made because the teacher notes that her scores on that design question are significantly below the school or district average.

Assuming that each phase takes 1 year, this second critical commitment can be designed and implemented in 4 years.

Commitment #3: Build Background Knowledge for All Students (Particularly Those With Educationally Challenging Backgrounds)

The third commitment addresses the academic background knowledge of students. Numerous studies have confirmed the relationship between background knowledge and achievement (Nagy, Anderson, & Herman, 1987; Dochy, Segers, & Buehl, 1999; Tobias, 1994; Schiefele & Krapp, 1996; Tamir, 1996; Boulanger, 1981). The average correlation reported in these studies between a person's background knowledge for a given topic and the extent to which that person learns new information regarding that topic is .66. This is a remarkably large correlation within the field of education.

This paints a compelling picture as to the importance of *academic* background knowledge to the academic success of students. It is important to acknowledge use of the qualifier *academic*. Two students might have an equal amount of background knowledge. However, one student's background knowledge might relate to traditional school subjects such as mathematics, science, history, and the like.

The other student's equally large store of background knowledge might be about nonacademic topics such as the best set of trains to take in the subway to get downtown during rush hour, the place to stand in the subway car that provides the most ventilation on a hot summer day, the best food to bring on the subway in terms of ease of consumption, and so on. The importance of one type of background knowledge over another is strictly a function of context (Becker, 1977; Greenfield, 1998).

Marzano (2004) has demonstrated that vocabulary knowledge and background knowledge are for all practical purposes synonymous.



Nagy and Herman (1984) found a consistent difference in vocabulary knowledge between students at different family income levels. They estimated a 4,700 word difference in vocabulary knowledge between high and low SES (socioeconomic status) students. Similarly, they estimated that mid-SES first graders know about 50% more words than do low-SES first graders. Graves and Slater (1987) found that first graders from higher-income backgrounds had about double the vocabulary size of those from lower-income backgrounds. Hart and Risley (1995) found that the differences in vocabulary development due to family status start at a very early age. They computed the correlation between vocabulary knowledge and family income to be .65 – again, a very large correlation in the social sciences.

The relationship between vocabulary knowledge and academic achievement is also well established. As early as 1941, researchers estimated that for students in grades 4 through 12, there was about a 6,000 word gap between students at the 25th and 50th percentiles on standardized tests (Nagy & Herman, 1984). Using a more advanced method of calculating vocabulary size, Nagy and Herman (1984) estimated the difference to be anywhere between 4,500 and 5,400 words for low- versus high-achieving students.

Given these findings from the research literature, the third commitment districts should make is to enhance student academic background knowledge through direct instruction in specific academic terms. There are two phases involved in a districtwide approach to increasing academic background. These have been described in depth in Marzano (2004) and Marzano and Pickering (2005).

Phase I: Identify Academic Terms in Language Arts, Mathematics, Science, and Social Studies to Be Taught at Each Grade Level

During this first phase, a district identifies academic terms in language arts, mathematics, science, and social studies. (Academic terms for other subject areas may also be identified.) To illustrate, the following typifies the types of mathematics terms that might be identified at third grade:

Angle

Area

Average

Bar graph

Congruent

Difference

Estimation

Hexagon

Length

Width

These terms are conceptual in nature. It is recommended that about 30 terms are identified for each grade level, for each subject area.

In this way teachers will have time to teach other terms of their own choosing. Specifically, it has been estimated that teachers try to teach as many as 400 terms per year (Marzano, 2004). If 30 terms only are identified per grade level for each of four subject areas, then a teacher in a self-contained classroom would be required to teach 120 district-identified terms, leaving time for the teacher to address 280 terms of her own choosing.



Phase II: Implement the Academic Vocabulary Program Districtwide Using a Common Approach to Instruction

Once academic terms have been identified, a program of direct instruction in the academic terms can be implemented districtwide.

As much as possible, a common approach to instruction should be used. Marzano (2004; Marzano & Pickering, 2005) has recommended the six-step process in Figure 9. This process allows for instructional flexibility from teacher to teacher but also provides students with an approach to learning new terms that is common regardless of the teacher or the term.

Assuming that Phases I and II will take one half year each, this third critical commitment can be designed and implemented in 1 year.

Figure 9: A Six-Step Process for Direct Vocabulary Instruction

Step 1: Provide a description, explanation, or example of the new term.

Step 2: Ask students to restate the description, explanation, or example in their own words.

Step 3: Ask students to construct a picture, symbol, or graphic representing the term.

Step 4: Engage students periodically in activities that help them add to their knowledge of the terms in their notebooks.

Step 5: Periodically ask students to discuss the terms with one another.

Step 6: Involve students periodically in games that allow them to play with terms.

Conclusions

The actions of districts and schools can have a profound impact on student achievement. Yet historically, districts and schools have been so loosely coupled that they have had little influence on what occurs in individual classrooms and consequently have had little influence on student achievement. This vision document has outlined three critical commitments that districts and schools can make. Implementing these critical commitments constitutes a concerted effort to be serious about school reform.



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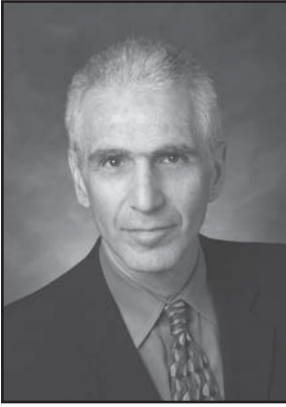
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Robert J. Marzano

Robert Marzano focuses on translating research and theory into practical programs and tools K-12 teachers and administrators can put to use in their classrooms for immediate gains. Dr. Marzano is CEO of Marzano Research Laboratory: Powered by Solution Tree and president of Marzano & Associates in Centennial, Colorado. He is also a senior scholar at Mid-continent Research for Education and Learning in Aurora, Colorado, and an associate professor at Cardinal Stritch University.

During his 40 years in education, Bob has worked with educators in every U.S. state and a host of countries in Europe and Asia. An internationally known trainer and speaker, Bob has authored more than 30 books, 150 articles and chapters, and 100 sets of curriculum materials for teachers and students in grades K-12. His work focuses on reading and writing, instruction, thinking skills, school effectiveness, restructuring, assessment, cognition, and standards implementation. His best-selling books include *Classroom Instruction That Works* (ASCD, 2001); *What Works in Schools* (ASCD, 2003); *Building Background Knowledge for Academic Achievement* (ASCD, 2004); and *The Art and Science of Teaching* (ASCD, 2007).

Bob earned a Bachelor of Arts in English from Iona College, Master of Education in reading and language arts from Seattle University, and Ph.D. in curriculum and instruction from the University of Washington.



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